# IAP13 Rec'd PCT/PTO 24 NOV 2006

NOV 2 4 2006

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent	Application Of:	)
T. St	ugata et al.	)
For: FAS	TENER AND SECUREMENT	)
SUB	JECT HAVING THE FASTENER	)
SEC	URED THERETO	)
Serial No.:	10/568,566	)
Filed:	February 21, 2006	)
Examiner:	unknown	j (
Art Unit:	3676	)
Conf. No.:	7859	)
Atty Dkt No.: 114208-060		)

## CERTIFICATE OF MAILING BY FIRST CLASS MAIL UNDER 37 CFR 1.8

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I hereby certify that the following documents relating to the above-identified application:

- 1. Amendment Transmittal Letter (Large Entity) (1 pg.);
- 2. Credit card payment Form (1 pg.);
- 3. Notification of Insufficient Fees (2 pgs.);
- 4. Response to Notification of Insufficient Fees and Preliminary Amendment (9 pgs.);
- 5. Return Receipt Postcard (1).

are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

MAIL STOP Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on November 20, 2006.

Respectfully submitted,

**EVEREST INTELLECTUAL PROPERTY LAW GROUP** 

BY

Michael S. Leonard P.O. Box 708

Northbrook, IL 60065 Phone: 847-272-3400

#### LETER (Large Entity) Docket No. AMENDMENT TRANSMITTAL 114208-060 Applicant(s): T. Sugata et al. NOV 2.4 2006 Customer No. Group Art Unit Confirmation No. Filing Date aminer Application No. 43793 3676 7859 unknown 10/568,566 February 21, 2006 FASTENER AND SECUREMENT SUBJECT HAVING THE FASTENER SECURED THERETO Invention: **COMMISSIONER FOR PATENTS:** Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below. CLAIMS AS AMENDED ADDITIONAL. **NUMBER EXTRA CLAIMS REMAINING** HIGHEST# RATE CLAIMS PRESENT FEE AFTER AMENDMENT PREV. PAID FOR \$50.00 \$1,050.00 20 21 Х 41 **TOTAL CLAIMS** \$200.00 \$2,200.00 14 3 11 Х INDEP. CLAIMS \$360.00 X Multiple Dependent Claims (check if applicable) \$3,610.00 TOTAL ADDITIONAL FEE FOR THIS AMENDMENT No additional fee is required for amendment. Please charge Deposit Account No. in the amount of to cover the filing fee is enclosed. A check in the amount of The Director is hereby authorized to charge payment of the following fees associated with this $\boxtimes$ communication or credit any overpayment to Deposit Account 50-3189 Any additional filing fees required under 37 C.F.R. 1.16. Any patent application processing fees under 37 CFR 1.17. ☑ Payment by credit card. Form PTO-2038. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. November 20, 2006 Dated: Signature Michael S. Leonard, Reg. No. 37,557 **Everest Intellectual Property Law Group** I hereby certify that this correspondence is being deposited with P.O. Box 708 the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, Northbrook, IL 60065 P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on Phone: 847-272-3400 November 20, 2006 11/43/E0X: 8479272-34170097 10568566 (Date) 1050.00 OP 2200.00 OP Signature of Person Mailing Correspondence

CC:

Michael S. Leonard

Typed or Printed Name of Person Mailing Correspondence

TENT AND TRADEMARK OFFICE

Page 1 of 2

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vignia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/568,566

**EVEREST INTELLECTUAL PROPERTY LAW GROUP** 

T. Sugata

114208060

INTERNATIONAL APPLICATION NO.

PCT/JP04/12013

I.A. FILING DATE

PRIORITY DATE

08/20/2004

08/22/2003

**CONFIRMATION NO. 7859 371 FORMALITIES LETTER** 

\*OC000000020480973\*

Date Mailed: 09/19/2006

NORTHBROOK, IL 60065

43793

P. O. BOX 708

# Notification of Insufficient Fees (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 02/21/2006
- Copy of the International Search Report filed on 02/21/2006
- Preliminary Amendments filed on 02/21/2006
- Oath or Declaration filed on 02/21/2006
- Request for Immediate Examination filed on 02/21/2006
- U.S. Basic National Fees filed on 02/21/2006
- Assignment filed on 02/21/2006
- Priority Documents filed on 02/21/2006
- Power of Attorney filed on 02/21/2006
- Specification filed on 02/21/2006
- Claims filed on 02/21/2006
- Abstracts filed on 02/21/2006
- Drawings filed on 02/21/2006

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

 Additional claim fees of \$5310 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

# SUMMARY OF FEES DUE:

Total additional fees required for this application is \$5060 for a Large Entity:

Total additional claim fee(s) for this application is \$ 5310

- \$2200 for 11 independent claims over 3.
- \$2750 for 55 total claims over 20.
- \$360 for multiple dependent claim surchare.

(A previous payment of \$250 will be applied to the additional fees indicated above.)

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

### LAMONT M HUNTER

Telephone: (703) 308-9140 EXT 201

### PART 1 - ATTORNEY/APPLICANT COPY

T	J.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
	10/568,566	PCT/JP04/12013	114208060

FORM PCT/DO/EO/923 (371 Formalities Notice)